

1169662

# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

*January 28, 2005*

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/505,944

FILING DATE: *September 25, 2003*

RELATED PCT APPLICATION NUMBER: PCT/US04/09172



Certified by

Under Secretary of Commerce  
for Intellectual Property  
and Director of the United States  
Patent and Trademark Office

**BEST AVAILABLE COPY**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. EU 804154622US

1624 U.S. 80/505944  
09/25/03

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
FITZ		WALKER, JR.		NEW HAVEN, CONNECTICUT	
Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (500 characters max)					
SYSTEM AND METHOD FOR RAPIDLY IDENTIFYING PATHOGENS, BACTERIA AND ABNORMAL CELLS					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number:		25306			
OR					
<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		Zip	
Country		Telephone		Fax	
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification Number of Pages		31		<input type="checkbox"/> CD(s), Number _____	
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets		10		<input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> Application Date Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE Amount (\$)	
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees.				80.00	
<input type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: _____					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

[Page 1 of 2]

Respectfully submitted,

SIGNATURE

*Raymond A. Nuzzo*

TYPED or PRINTED NAME RAYMOND A. NUZZO

TELEPHONE 203-467-7895

Date SEPTEMBER 25, 2003

REGISTRATION NO. 37,199

(if appropriate)

Docket Number: BAR 20200

## USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

15866 U.S.  
09/25/03

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 80.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Fitz Walker, Jr.  
Examiner Name  
Art Unit  
Attorney Docket No. BAR 20200

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	80.00
SUBTOTAL (1)			(\$ 80.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)	Raymond A. Nuzzo	Registration No. (Attorney/Agent)	37,199	Telephone	203 467-7895
Signature	<i>Raymond A. Nuzzo</i>	Date	9/25/2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. PROVISIONAL PATENT APPLICATION

OF

FITZ WALKER, JR.

FOR

SYSTEM AND METHOD FOR RAPIDLY IDENTIFYING  
PATHOGENS, BACTERIA AND ABNORMAL CELLS

1        SYSTEM AND METHOD FOR RAPIDLY IDENTIFYING PATHOGENS,  
2                                BACTERIA AND ABNORMAL CELLS

3  
4                                BACKGROUND OF THE INVENTION  
5

6    1.    Field of the Invention

7            The present invention generally relates to a system  
8 and method for rapidly identifying pathogens, bacteria and  
9 abnormal cells.

10   2.    Problem to be Solved

11           The timely diagnosis of pathogens, bacteria, abnormal  
12 cell and infectious diseases is often complicated by the  
13 need to use cultures as the means to identify the bacteria  
14 and select the optimum treatment.           Currently,  
15 identification of pathogens often takes days and involves  
16 complicated procedures, a situation that may unduly delay  
17 effective treatment such as the appropriate selection of an  
18 optimal antibiotic.    Similar problems exist in detecting  
19 bacterial contamination in food, especially in beef,  
20 poultry and fish. The delay in identifying the presence of  
21 harmful bacteria in food products could result in  
22 contaminated products being released for distribution and  
23 consumption with dire consequences.    In some instances,

1 these delays have proved to be fatal to patients or have  
2 caused unnecessary suffering. According to 1999 statistics  
3 provided by the Center for Disease Control, there were  
4 1,194,959 reported cases of infectious diseases caused by  
5 bacteria. Furthermore, there were many instances of food  
6 poisoning that were not subject to mandatory reporting to  
7 the Center for Disease Control. A common practice in  
8 treating infected patients is the use of broad-spectrum  
9 antibiotics. However, due to the problem of bacterial  
10 resistance to many antibiotics, broad-spectrum antibiotics  
11 may not be effective. Many of these cases of infectious  
12 diseases could have been prevented or promptly treated if  
13 rapid and accurate diagnosis was available. Rapid  
14 identification of pathogens, bacteria and abnormal cells is  
15 also critical in dealing with bio-terrorism and with  
16 biological agents during warfare. Currently, there is no  
17 commercially available system for rapidly and accurately  
18 identifying pathogens.

19

20

#### SUMMARY OF THE INVENTION

21

22

23

The present invention achieves rapid identification of  
pathogens, bacteria and other abnormal human and animal  
cells. In one embodiment, the present invention is

1 directed to a non-invasive system and method for  
2 automatically and rapidly identifying pathogens that  
3 comprises a first subsystem that obtains and processes  
4 images of specimens of pathogens, bacteria or other  
5 abnormal cells, and a second subsystem that accepts the  
6 images of the specimens, isolates the particular features  
7 of each image using advanced image segmentation, and then  
8 rapidly and accurately identifies the pathogens, bacteria  
9 or abnormal cell structure using pattern recognition  
10 processing on the particular isolated features.

11 In one embodiment, the first subsystem described in  
12 the foregoing description comprises an image capturing  
13 system that comprises a microscope and a video camera. The  
14 image capturing system captures or acquires an image of a  
15 specimen of a pathogen, bacteria or abnormal cell  
16 structure. The first subsystem further comprises an image  
17 processing system that pre-selects, enhances, digitizes and  
18 temporarily stores the pertinent parts of the captured or  
19 acquired image of the specimen. The first subsystem  
20 further comprises a communication system that transmits the  
21 processed image to the second subsystem via any one of a  
22 variety of suitable communication schemes such as satellite  
23 links, the Internet, or telephone lines. In a preferred

1 embodiment, the first subsystem further includes a  
2 computer, microprocessor or other controller to control the  
3 operation of the first subsystem. The first subsystem is  
4 configured to be compact, lightweight, and rugged so that  
5 it can be carried in vehicles and operated from the  
6 vehicle's battery power supply. In accordance with the  
7 invention, the first subsystem is configured to have  
8 automatic operation so as to minimize the manual effort in  
9 processing the image of the specimens.

10 In one embodiment, the second subsystem is typically  
11 located at a central location. The second subsystem  
12 receives the processed image transmitted by the first  
13 subsystem. The second subsystem comprises an image  
14 processing system that processes the images received from  
15 the first subsystem so as to isolate certain features the  
16 image of the specimens that are of interest. This image  
17 processor effects image segmentation to isolate the  
18 aforementioned features of the image. The second subsystem  
19 comprises a database that contains known reference images.  
20 Each reference image is associated with a known pathogen,  
21 bacteria or abnormal cell structure. The image processing  
22 system effects pattern recognition programs that compare  
23 the images of the isolated features to the known reference



1 images in the database in order to determine if the  
2 isolated feature matches any of the known reference images.

3 The system and method of the present invention can  
4 also be used as a diagnostic radiology and imaging tool in  
5 the medical and dental field. Specifically, the  
6 system and method of the present invention can be  
7 configured to analyze medical images such as images of soft  
8 tissue, mammograms, x-rays (bone and dental), ultrasounds,  
9 MRI images, and CAT scans.

10

11 BRIEF DESCRIPTION OF THE DRAWINGS

12 The features of the invention are believed to be  
13 novel. The figures are for illustration purposes only and  
14 are not drawn to scale. The invention itself, however, both  
15 as to organization and method of operation, may best be  
16 understood by reference to the detailed description which  
17 follows taken in conjunction with the accompanying drawings  
18 in which:

19 FIG. 1 is a block diagram of the system of the present  
20 invention.

21 FIG. 2 is a perspective view of one embodiment of an  
22 imaging subsystem shown in FIG. 1.

23 FIG. 3 is a perspective view of the rear side of the

1 imaging subsystem of FIG. 2.

2 FIG. 4 is a flow chart illustrating the operation of  
3 the imaging subsystem shown in FIG. 1.

4 FIG. 5 is a block diagram of an image management  
5 diagnostic system shown in FIG. 1.

6 FIGS. 5A-5D constitute a flow chart illustrating the  
7 operation of the image management diagnostic system shown  
8 in FIG. 5.

9 FIG. 6 is a flow chart illustrating a cluster  
10 scheduling process used by the image management diagnostic  
11 system shown in FIG. 5.

12

13 DESCRIPTION OF THE PREFERRED EMBODIMENTS

14 Referring to FIG. 1, there is shown a block diagram of  
15 a system for rapid identification of pathogens, bacteria  
16 and abnormal cell structures in accordance with the  
17 invention. System 100 generally comprises imaging  
18 subsystem 100a and image management diagnostic subsystem  
19 100b. Subsystem 100a generally comprises computer or  
20 controller 101, staining module 102, microscope 104,  
21 digital color video camera 106, image memory 108 and  
22 communications module 110. As will be apparent from the  
23 ensuing description, computer 101 controls the operation

1 and the sequence of operation of microscope 104, digital  
2 color video camera 106, image memory 108 and communications  
3 system 110.

4       Staining module 102 stains the slides of specimens of  
5 pathogens, bacteria and abnormal cells that are affixed to  
6 slides. The slides are stained prior to being viewed with  
7 microscope 104. In one embodiment, the staining module is  
8 manually operated and stained slides are manually inserted  
9 into microscope 104. In a preferred embodiment, between  
10 five and ten different stains are selected to stain a  
11 predetermined number of slides for a given specimen in  
12 order to ensure that at least one of these slides has a  
13 pathogen, bacteria or abnormal cell stained to produce an  
14 acceptable image. In another embodiment, staining module  
15 102 is automated in order to reduce the time for staining  
16 the specimens and the stained slides are manually inserted  
17 into microscope 104.

18       In one embodiment, statistical analysis is used to  
19 determine a sufficient number of specimen slides that are  
20 needed to ensure that at least one of the slides contain  
21 the offending pathogen, bacteria, etc. Staining module 102  
22 is configured to utilize a standard set of stains to cover  
23 the range of pathogens, bacteria, etc. of interest.

1        Microscope 104 is configured to provide sufficient  
2 magnification and include an oil immersion objective, an  
3 optical port for video camera 106, an auto stage mechanism,  
4 and an auto focus mechanism. The auto stage mechanism  
5 comprises a shallow well for the convenient placement of  
6 the specimen slides. The automatic stage mechanism  
7 performs a raster scan of each slide while the auto focus  
8 mechanism maintains the image in focus. The auto stage  
9 mechanism is configured to stop briefly at each step to  
10 allow an image to be acquired. Each acquired image is  
11 assigned the x-y coordinates of the position of the auto  
12 stage mechanism. These x-y coordinates are automatically  
13 added in an appropriate format to the acquired image of the  
14 specimen.

15        Video camera 106 is controlled by computer or  
16 controller 101 to capture or acquire a color image of the  
17 specimen at each stop of the auto stage mechanism. Video  
18 camera 106 is configured to provide adequate resolution and  
19 stability. Video camera 106 digitizes the acquired image.  
20 The digitized image is then transferred to image memory  
21 108. Image memory 108 is a temporary memory for  
22 temporarily storing the acquired images generated by video  
23 camera 106.

1        In one embodiment, the acquired images are pre-  
2 screened and presorted for useful and relevant content.  
3 This is accomplished by a screening processor and display  
4 device (both of which not being shown) that is in  
5 electronic data communication with image memory 108. This  
6 pre-screening and presorting function ensures that further  
7 analysis is performed only on images having relevant  
8 information.        The screening processor utilizes  
9 predetermined criteria (descriptors) to determine whether  
10 the images have relevant content.

11        Computer 101 controls image memory 108 to transfer the  
12 stored digitized images into communications module 110.  
13 Communications module 110 includes RF (radio frequency)  
14 antenna 111. Communications module 110 is configured to  
15 transmit the digitized images to second subsystem 100b via  
16 any one of a variety of suitable communications modes. For  
17 example, communications module 110 is configured to provide  
18 RF communication or communication through satellite  
19 communication, telephone lines, the Internet, or dedicated  
20 lines.        In accordance with the invention, the  
21 communications link between first subsystem 100a and second  
22 subsystem 100b is bi-directional.        In a preferred  
23 embodiment, the communication between first subsystem 100a

1 and second subsystem 100b is real time.

2 In accordance with the invention, subsystem 100a is  
3 lightweight, compact, robust, and capable of battery-power  
4 operation or AC power. Thus, subsystem 100a is suitable  
5 for operation in remote locations or mobile operation. In  
6 an alternate embodiment, subsystem 100a is configured to  
7 operate with power from a land vehicle's battery.

8 Referring to FIGS. 2 and 3, there is shown one  
9 embodiment of imaging subsystem 100a. Imaging subsystem  
10 100a has housing 120, control panels 122 and 123, and  
11 interface 124. Interface 124 comprises RS 232 interface  
12 126, video data ports 128 and 130, USB port 132 and  
13 external power input 134. Imaging subsystem 100a further  
14 includes rechargeable battery pack 136 for supplying power  
15 to all components of image subsystem 100a. For purposes of  
16 simplifying FIG. 3, antenna 111 is not shown. Imaging  
17 subsystem 100a further comprises screen 138 for obtaining  
18 air samples that are to analyzed. Thus, screen 138 enable  
19 airborne pathogens, bacteria, etc. to be analyzed. Imaging  
20 subsystem 100a further includes slide insertion device 140  
21 that enables a user to insert a specimen slide 142 into  
22 housing 120. Imaging subsystem 100a further comprises  
23 fluid inlet 144 and fluid outlet 146 for allow the ingress

1 and egress of fluids (e.g. water) that is to be analyzed.  
2 Thus, image subsystem 100a can capture an image of  
3 pathogens, bacteria, etc. that not only exist on the slides  
4 142, but also in fluids and in the air.

5 Referring to FIGS. 1 and 4, there is shown a flow  
6 chart illustrating the operation of imaging subsystem 100a.  
7 In step 150, a user activates computer 101. In step 152,  
8 any required data stored in a master system (not shown) is  
9 loaded into computer 101. Next, in step 154, specimens are  
10 stained by staining module 102. In step 156, microscope  
11 104 and video camera 106 are activated by computer 101.  
12 The user then inserts a stained specimen slide 142 into  
13 slide insertion device 140. Next, in steps 158, 160 and  
14 162, it is determined whether the imaging of the specimen  
15 slides is going to be controlled manually (i.e. locally).  
16 If it is decided that there will be manually control, the  
17 user inputs manual input commands into computer 101 in  
18 order to control microscope 104 and video camera 106  
19 according to the data defined by such commands. Next, in  
20 step 164, an image of the specimen is produced. In step  
21 166, the produced image of the specimen is displayed on an  
22 external display device. Such a display device is not  
23 shown in FIG. 1, however, in one embodiment, this display

1 device is connected to video ports 128 and 130. Included  
2 in steps 164 and 166 are the steps of pre-screening and  
3 pre-sorting of the images in order to determine if the  
4 image contains relevant information. In one embodiment,  
5 medical personnel pre-screen the images by visual  
6 inspection. In step 168, the relevant images are collected  
7 and organized in image memory 108. In step 170, the  
8 relevant images are stored in image memory 108 or an  
9 external data storage device such as a ROM or CD-ROM. In  
10 one embodiment, the external data storage device is an  
11 external device that is in electronic data communication  
12 with RS-232 port 126 or USB port 132. In step 172, the  
13 relevant collected and organized images are sent to an  
14 output buffer memory and then, routed to communications  
15 module 110. In step 174, these images are then  
16 communicated to image management diagnostic subsystem 100b.

17 Referring to FIG. 1, image management diagnostic  
18 subsystem 100b will most likely be centrally located. In a  
19 preferred embodiment, subsystem 100b is configured to serve  
20 a plurality of subsystems 100a provide diagnosis  
21 information in near real time. Second subsystem 100b  
22 generally comprises communications module 180, antenna 181,  
23 temporary image memory 182 and image processing system 190.



1 Communications module 180 receives the digitized image data  
2 transmitted by communications module 110 of subsystem 100a.  
3 This received digitized image data is then transferred to  
4 temporary image memory 182. The stored digitized image is  
5 then transferred from temporary image memory 182 to image  
6 processing system 190. In a preferred embodiment, image  
7 processing system 190 is configured to implement high-speed  
8 parallel processing. In one embodiment, image processing  
9 system 190 is configured as a Scyld Beowulf Computer  
10 Cluster which has a parallel processor comprising 64 nodes.  
11 The Scyld Beowulf Computer Cluster is known in the art and  
12 was developed by the NASA Goddard Space Flight Center.  
13 Referring to FIG. 5, there is shown a block diagram of  
14 image processing subsystem 190. Image processing system  
15 190 comprises work stations 200, 202 and 204 which are in  
16 electronic data communication with common hub 206. In one  
17 embodiment, work stations 200, 202 and 204 are commercially  
18 available Pentium™ class computers which are manufactured  
19 by Linux™, Sun™, and Microsoft™. In one embodiment,  
20 common hub 206 is configured as a commercially available  
21 switch such as a Hewlett Packard or compatible 10/100/1000  
22 hub. Image processing system 190 further comprises master

1 node 208 and a firewall 210 between master node 208 and  
2 common hub 206. Master node 208 comprises data processing  
3 modules that effects implementation and execution of the  
4 particular image processing and analysis computer programs  
5 that are described in the ensuing description. Image  
6 processing subsystem 190 further comprises central hub 212.  
7 In one embodiment, central hub 212 is configured as a  
8 commercially available switch such as a Hewlett Packard or  
9 compatible 10/100/1000 hub. Image processing subsystem 190  
10 further comprises a plurality of slave nodes 214 that are  
11 in electronic data communication with central hub 212. In  
12 one embodiment, there are sixty-four slave nodes 214 and  
13 each slave node 214 is configured as a PC Pentium class  
14 computer having a minimum of 128 MB of RAM. Image  
15 processing system 190 further comprises database server  
16 220. Database server 220 stores the image data that  
17 originated from subsystem 100b and which is to be analyzed  
18 by subsystem 100b. Image processing system 190 further  
19 comprises file server image repository 222. Repository 222  
20 has first and second sections. The first section is for  
21 storing images of known pathogens, bacteria and abnormal  
22 cells. Specifically, the first section contains a large  
23 library of reference images of pathogens, abnormal cell

1 structures, bacteria, etc. with several different views of  
2 each type to account for rotation and other apparent  
3 differences. Preferably, the referenced images are  
4 compressed to minimize the memory requirements. Each  
5 reference image has corresponding identification  
6 information that provides information about the reference  
7 image such as the name of the pathogen, bacteria, cell,  
8 etc. The second section of repository 222 is for the  
9 storage of segments of images produced by a hierarchical  
10 segmentation process that is described in the ensuing  
11 description.

12 Referring to FIGS. 1 and 5, images outputted by  
13 temporary image memory 182 are inputted into database  
14 server 220. Images in database server 220 are routed to  
15 master node 208 by any of the workstations 200, 202 and  
16 204. Master node 208 performs several functions. Master  
17 node 208 performs a pre-scan of the digitized images  
18 received from database server 220 to determine if the  
19 digitized images contain relevant and useful information.  
20 If the images do not contain relevant and useful  
21 information, the images are either discarded (i.e. deleted)  
22 or stored in a designated area in file server image  
23 repository 222. If the images do contain relevant and

1 useful information, the images are then subjected to  
2 further processing. Specifically, master node 208 performs  
3 segmentation on the image. In one embodiment, master node  
4 208 is programmed to execute a segmentation process  
5 described in pending U.S. patent application serial number  
6 09/839,147 entitled "Method For Implementation Of  
7 Hierarchical Segmentation On Parallel Computers", the  
8 disclosure of which is incorporated herein by reference.  
9 The aforementioned pending U.S. application serial number  
10 09/839,147 was published on May 1, 2003 having Patent  
11 Application Publication No. US2003/0081833. Publication  
12 No. US2003/0081833 is incorporated herein by reference.  
13 The segmentation process isolates particular features of  
14 the digitized image. Specifically, this segmentation  
15 process effects a sequential set of image segmentations at  
16 different levels of segmentation detail in which the  
17 segmentations at a relatively coarser level of detail is  
18 produced from simple mergers of regions from segmentations  
19 of finer levels of detail. A unique feature of the  
20 hierarchical image segmentation process is that the  
21 segmented region boundaries are maintained at the full  
22 image spatial resolution at all levels of segmentation  
23 details in the hierarchy. The result of the process is

1 that regions of similar characteristics are isolated  
2 (segmented) and identified. Thus, the image of a pathogen  
3 that has features distinct from the background and debris  
4 can be isolated using certain assigned criteria, e.g.  
5 color, shape, size, etc.

6 Image processing system 190 then performs a fast  
7 analysis on the isolated feature based on a few descriptors  
8 such as size and shape of the isolated feature. Image  
9 processing system 190 includes a memory for storing  
10 criteria that is used in the fast analysis to determine  
11 whether or not a particular image of an isolated feature  
12 has useful information. If the particular image has useful  
13 information, the particular image is retained and made  
14 available for further analysis. If it is determined that  
15 the particular image does not have useful information, the  
16 particular image is discarded. If a particular image of an  
17 isolated feature does have useful information, master node  
18 208 performs further processing on that image.  
19 Specifically, master node 208 implements and executes a  
20 computer program that effects optical recognition and data  
21 mining. In one embodiment, this computer program is  
22 configured as the computer program referred to as  
23 "Continuously Scalable Template Matching" developed by NASA

1 Jet Propulsion Laboratories and CalTech. This computer  
2 program comprises a first portion that effects data mining  
3 and a second portion that effects optical recognition. The  
4 data mining portion is configured as the computer program  
5 known as "Diamond Eye" which is known in the art and  
6 developed by NASA's Jet Propulsion Laboratory. The  
7 "Diamond Eye" computer program is based on a distributed  
8 applet/server architecture that provides platform-  
9 independent access to image mining services. A database  
10 associated with "Diamond Eye" computer program provides  
11 persistent storage and enables querying of the "mined"  
12 information. The computational engine carries out parallel  
13 execution of the most demanding parts of the data-mining  
14 task: image processing, object recognition, and querying-  
15 by-content operations. The purpose of the data mining  
16 process is to extract particular image data from the  
17 isolated feature or features of the subject image that  
18 result from the segmentation process described in the  
19 foregoing description.

20 The optical recognition portion of the computer  
21 program executed by master node 208 comprises a pattern  
22 recognition program that determines whether the mined data,  
23 obtained by the data mining portion of the computer

1 program, matches any reference images in the reference  
2 library portion of file server image repository 222. The  
3 optical recognition program can detect patterns that differ  
4 in size but are otherwise similar to a specified  
5 (reference) pattern. If a match exists, the reference  
6 image, the subject isolated feature which matches the  
7 reference image, and any information associated with the  
8 reference image, is displayed on the displays of work  
9 stations 200, 202 and 204. Master node 208 also effects  
10 execution and implementation of an image analysis program  
11 that performs statistical analysis on the subject isolated  
12 feature to identify areas of interest. As a result,  
13 medical personnel can make a diagnosis upon viewing the  
14 information displayed at any of work stations 200, 202 and  
15 204. If there is no matching reference image for a subject  
16 isolated feature, then such information is displayed at  
17 work stations 200, 202 and 204.

18 Master node 206 also implements and executes a  
19 scheduling program, described in detail in the ensuing  
20 description, which effects cost and time efficient  
21 scheduling of all of the nodes of image processing system  
22 190. Thus, whether there are 16, 64 or 128 nodes in image  
23 processing system 190, the nodes will be used efficiently

1 to achieve optimum operation in a cost efficient manner.

2 Referring to FIGS. 5A-5D, there is shown a flow chart  
3 of the image processing method implemented by image  
4 processing system 190. The method starts in step 300 upon  
5 a command inputted by a user into any of work stations 200,  
6 202 and 204. In step 302, a user uses any of the work  
7 stations 200, 202 and 204 to retrieve an image from  
8 database server 220. The image retrieved is the image that  
9 is to be processed and analyzed by master node 208. As  
10 described in the foregoing description, the retrieved image  
11 can be in JPEG, TIFF or other format. In step 304, master  
12 node 208 converts the retrieved image into raw data that is  
13 suitable for processing by master node 208. In step 306,  
14 the user may input, into work stations 200, 202 and 204,  
15 commands such as parameter data and recursive level data  
16 for use by the hierarchical segmentation process  
17 implemented by master node 208. The parameter data  
18 includes the number of regions in which the subject image  
19 is to be divided. Each region defines a specific portion  
20 of the image in which medical personnel are interested in  
21 analyzing. The recursive level data defines the desired  
22 bit resolution and the bandwidth required to process the  
23 images. In an alternate embodiment, the parameter data and



1 recursive level data are not inputted by the uses but  
2 rather, are preset within the software. Next, step 307  
3 effects implementation of a cluster scheduling program that  
4 schedules use of the clusters within image processing  
5 system 190 in order achieve time and cost efficient  
6 operation and use of the clusters. Thus, step 307 ensures  
7 that all clusters are always performing tasks at any given  
8 moment and that no clusters are idle. Step 307 also  
9 schedules time and efficient operation and use of file  
10 server image repository 222 and database server 220. The  
11 scheduling program is described in the ensuing description.  
12 Next, in step 308, it is determined if the method is to  
13 proceed with the hierarchical segmentation process. If the  
14 method is not to proceed with hierarchical segmentation,  
15 then the method ends at step 309. If the method is to  
16 proceed with hierarchical segmentation, the method proceeds  
17 to steps 310, 312 or 314. Step 310 determines whether the  
18 retrieved image shall be formatted into RGB (Red, Green,  
19 Blue) format prior to the retrieved image being segmented  
20 by hierarchical segmentation. If RGB format is desired,  
21 the method shifts to step 318 wherein the hierarchical  
22 segmentation process begins. If RGB format is not desired,  
23 the method shifts to step 312. In step 312, it is

1 determined whether the retrieved image shall be formatted  
2 into eight (8) bit format prior to the retrieved image  
3 being segmented by hierarchical segmentation. If eight (8)  
4 bit is desired, the method shifts to step 318 wherein the  
5 hierarchical segmentation process begins. If eight (8) bit  
6 format is not desired, the method shifts to step 314. In  
7 step 314, it is determined whether the retrieved image  
8 shall be formatted into sixteen (16) bit format prior to  
9 the retrieved image being segmented by hierarchical  
10 segmentation. If sixteen (16) bit format is desired, the  
11 method shifts to step 318 wherein the hierarchical  
12 segmentation process begins. As is apparent from the  
13 foregoing description, the decision process performed by  
14 steps 310, 312 and 314 depends upon the recursive levels  
15 inputted in step 306. In step 318, the hierarchical  
16 segmentation process begins and breaks the retrieved image  
17 into segments. Each segment defines a particular region of  
18 the retrieved image (retrieved in step 302). In step 320,  
19 it is determined whether the segments are to undergo  
20 further processing or whether the segments are to be stored  
21 in repository 222. If step 320 determines that the  
22 segments of the particular regions are not to undergo  
23 further processing, then step 322 effects storage of these

1 images of the particular regions in repository 222. If  
2 step 320 determines that the segments are to undergo  
3 further processing, then the method shifts to step 324  
4 wherein the regions defined by the segments are mapped.  
5 Specifically, step 324 effects mapping or assignment of  
6 labels to each region. In step 325, the labeled regions  
7 are stored in repository 222.

8 Next, in step 326, the users input particular  
9 predetermined attributes into master node 208 via any of  
10 the work stations 200, 202 and 204. These attributes  
11 comprise features and characteristics of certain pathogens,  
12 bacteria or other disease. Next, step 327 then determines  
13 if any of these attributes exists in the labeled regions  
14 stored in repository 222. This step is accomplished by  
15 execution of the template matching program described in the  
16 foregoing description. If the attributes do not exist in  
17 the labeled regions stored in repository 222, then the  
18 method shifts to step 328 which sends data to work stations  
19 200, 202 and 204 that indicates that no match has been  
20 found. If step 327 predetermines that there are matching  
21 attributes that exist in the labeled regions stored in  
22 repository 222, then the method shifts to step 330 which  
23 effects retrieval of the labeled images of the particular

1 region or regions that have the matching attributes. In  
2 step 332, the retrieved labeled images are displayed at  
3 work stations 200, 202 and 204 so as to enable medical  
4 personal to review the retrieved image and make a  
5 diagnosis. The method then ends at step 334.

6 Referring to FIG. 6, there is shown a flow chart of  
7 the cluster scheduling program of step 307. In step 400,  
8 it is determined whether the cluster scheduling program is  
9 to be executed. If the cluster scheduling program is not  
10 to be initiated, the cluster scheduling program is  
11 terminated and the method implemented by master node 208  
12 shifts to step 308 (see FIG. 5A). If the cluster  
13 scheduling program is to be executed, then the program  
14 shifts to step 402. Step 402 determines the number of  
15 nodes that are being requested to process the subject  
16 images. Thus, step 402 determines if four (4), sixteen  
17 (16), sixty four (64), one hundred twenty (128) or more  
18 nodes are requested. In step 404, it is determined if fast  
19 nodes or slow nodes are being requested for processing the  
20 subject retrieved images. Whether fast or slow nodes are  
21 used depends upon the amount of images to be processed and  
22 the time factors dictated by any particular situation, e.g.  
23 emergency, chemical warfare scenario, etc. In step 406, it

1 is determined whether there will be a time delay associated  
2 with any of the required nodes. Specifically, step 406  
3 determines if there will be a time delay before particular  
4 nodes are available for processing the subject retrieved  
5 image. The time delay is the amount of time needed by that  
6 node to complete its other task. Thus, if a particular  
7 node is busy on another task, master node 208 will schedule  
8 that node to be used for processing the subject retrieved  
9 image upon expiration of the amount of time needed by that  
10 node to complete its other task. Similarly, master node  
11 208 schedules nodes to commence new tasks upon completion  
12 of the current tasks. Whether there will be time delays  
13 depends upon many factors such as the recursive levels, the  
14 desired number of nodes, and whether fast or slow nodes are  
15 required. Next, step 408 calculates the cost factor for  
16 this particular processing task. The cost function depends  
17 upon the recursive levels, the desired number of nodes,  
18 whether the fast or slow nodes are required, and any time  
19 delays. Thus, the cost factor can be varied if any of  
20 these preceding factors are varied. The cost factor  
21 information is displayed on any of work stations 200, 202  
22 and 204. Mathematical algorithms known in the art are used  
23 in determining the cost factor. In step 410, the cluster

1 scheduling program terminates and the overall process  
2 implemented by master node 208 resumes at step 308.

3 In an alternate embodiment, system 100 is configured  
4 to be positioned at a single location. In such a  
5 configuration, system 100 would have no need for and would  
6 not utilize communication modules 104 and 180 since  
7 transmission of images would not be necessary.

8 The present invention provides many advantages and  
9 benefits. Specifically, the present invention:

- 10 a) eliminates the need for cultures;
- 11 b) provides for rapid and accurate identification of  
12 pathogens, bacteria, infectious diseases and abnormal  
13 cells;
- 14 c) separates the image acquisition subsystem from  
15 the image processing and identification subsystem to allow  
16 remote operation under demanding conditions;
- 17 d) uses multiple data transmission paths to take  
18 advantage of the available communication systems;
- 19 e) uses a relatively low-cost parallel processing  
20 computer system to achieve near real-time operation;
- 21 f) combats infectious diseases, reduces morbidity  
22 and mortality, and provides high-level medicine to remote  
23 areas of the nation and the world;

1       g)    effects diagnosis of infectious diseases due to  
2 bacteria, and detection of bacterial contamination of  
3 foodstuffs;

4       h)    enables subsystem 100a to be located in small  
5 hospitals and clinics, particularly in rural or remote  
6 areas such as Appalachia and Indian Reservations, as well  
7 as in Third World countries with limited access to  
8 healthcare facilities;

9       i)    provides a portable, lightweight subsystem 100a  
10 that can be easily transported via land vehicle or a ship  
11 to collect information in a timely fashion at remote  
12 locations such as the front lines during military conflict;

13       j)    enables subsystem 100a to be located in large  
14 slaughterhouses, meat and poultry processing facilities,  
15 large dairy farms and other agribusinesses in order to  
16 enable detection of bacteria before such meat, poultry and  
17 dairy products are shipped to consumers; and

18       k)    enables subsystem 100a to be located at research  
19 laboratories, the Center for Disease Control, and  
20 pharmaceutical manufacturers to aid in research and in the  
21 development of new antibiotics.

22       Although the foregoing description is in terms of the  
23 present invention being directed to the rapid

1 identification of pathogens, bacteria and abnormal cells,  
2 the system and method of the present invention can be used  
3 as a diagnostic radiology and imaging tool in the medical  
4 and dental field. Specifically, the system and  
5 method of the present invention can be configured to  
6 analyze medical images such as images of soft tissue,  
7 mammograms, x-rays (bone and dental), ultrasounds, MRI  
8 images, and CAT scans. In such an embodiment, the  
9 aforementioned images are segmented to generate regions for  
10 identification in generally the same manner as the digital  
11 microscope images described in the foregoing description.  
12 Specifically, the image is transferred to image processing  
13 system 190 wherein workstations 200, 202, and 204 to  
14 compress the images. In a preferred embodiment, a loss-  
15 less compression software program is used. Preferably, the  
16 compression software is certified for use on medical  
17 images. Suitable compression software is GZIP and BZIT2.  
18 Other suitable compression software can be used. Next, the  
19 compressed image is stored into file server image  
20 repository 222. The compressed image is stored in  
21 repository 222 and is subsequently retrieved so it can be  
22 segmented and/or compared against another image, segment or  
23 region. After the compressed image is retrieved from



1 repository 222, the compressed image is prepared for  
2 segmentation using the recursive hierarchical segmentation  
3 algorithm described in the foregoing description.  
4 Preferably, the aforementioned recursive hierarchical  
5 segmentation algorithm is performed on a parallel computing  
6 platform as described in the foregoing description. As  
7 described previously herein, the image segmentation process  
8 comprises partitioning an image into sections or regions.  
9 These regions may be subsequently associated with normal,  
10 abnormal or deviations in various tissues, however, the  
11 segmentation process simply gives generic labels to each  
12 region. The regions consist of groups of multi-spectral or  
13 hyper-spectral image pixels that have similar data feature  
14 values. These data feature values may be the multi-  
15 spectral or hyper-spectral data values themselves and/or  
16 may be derivative features such as band ratios or textural  
17 features. Simultaneously, regional images that have been  
18 segmented into their sections or regions and masked  
19 segmented images that have been labeled are stored in  
20 repository 220. The images stored in repository 220 can be  
21 recalled by the scalable templates matching application for  
22 either viewing or matching known or defined segmented  
23 regions that have been associated with normal, abnormal or

1 deviations in the radiological images.

2       The principles, preferred embodiments and modes of  
3 operation of the present invention have been described in  
4 the foregoing specification. The invention which is  
5 intended to be protected herein should not, however, be  
6 construed as limited to the particular forms disclosed, as  
7 these are to be regarded as illustrative rather than  
8 restrictive. Variations in changes may be made by those  
9 skilled in the art without departing from the spirit of the  
10 invention. Accordingly, the foregoing detailed description  
11 should be considered exemplary in nature and not limited to  
12 the scope and spirit of the invention as set forth in the  
13 attached claims.

ABSTRACT

1  
2  
3       The present invention achieves rapid identification of  
4 pathogens, bacteria, cancer cells and other abnormal human  
5 and animal cells. In one embodiment, the system of the  
6 present invention comprises a first subsystem that obtains  
7 and processes images of specimens of pathogens, bacteria,  
8 and other abnormal cells, and a second subsystem that  
9 accepts the images, isolates the particular features of the  
10 image using advanced image segmentation, and then rapidly  
11 and accurately identifies the pathogens, bacteria and other  
12 abnormal cells by using a pattern recognition process  
13 wherein the segmented or isolated features of the original  
14 image are compared to known reference images.

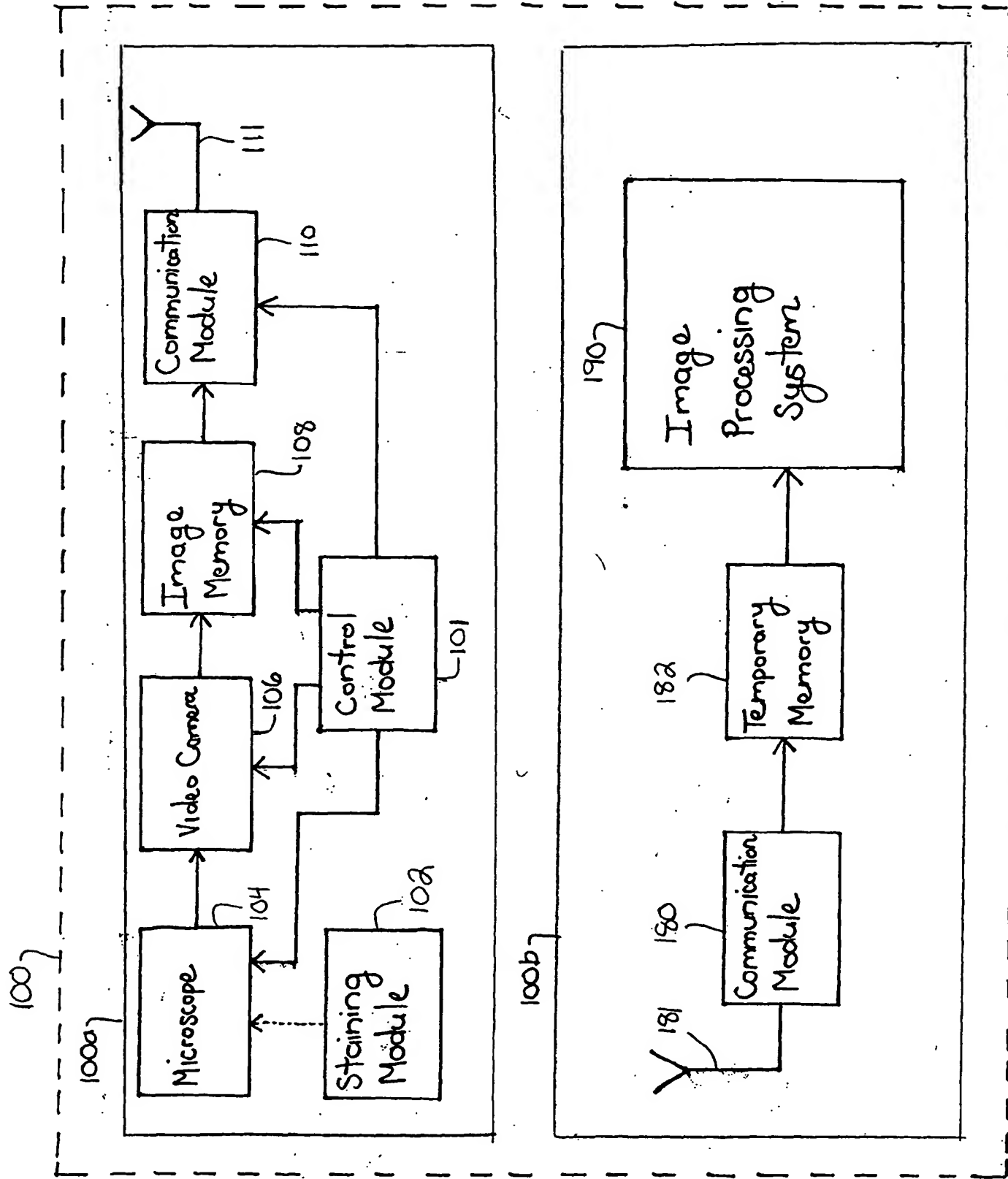
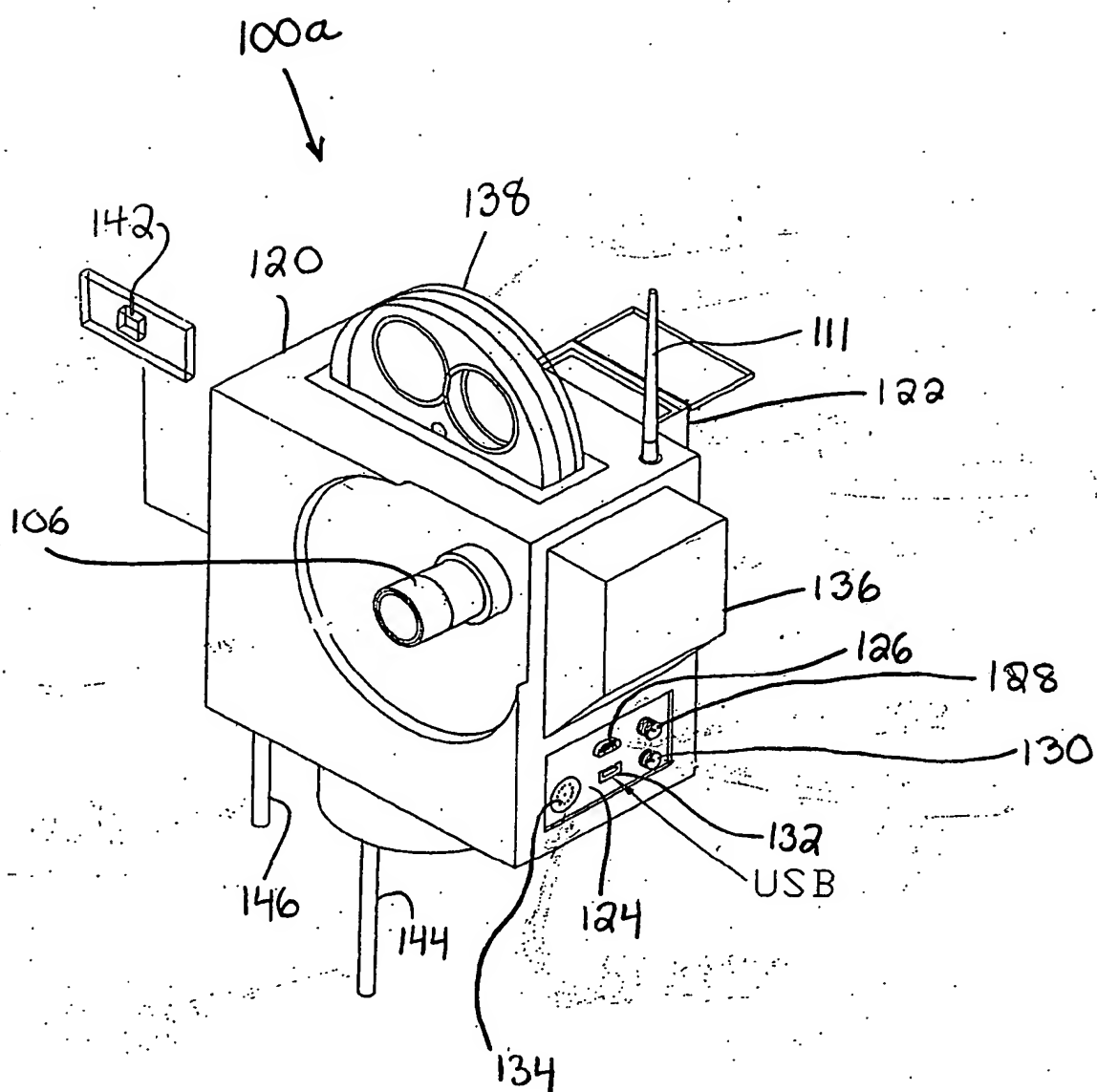


FIG.1

FIG. 2



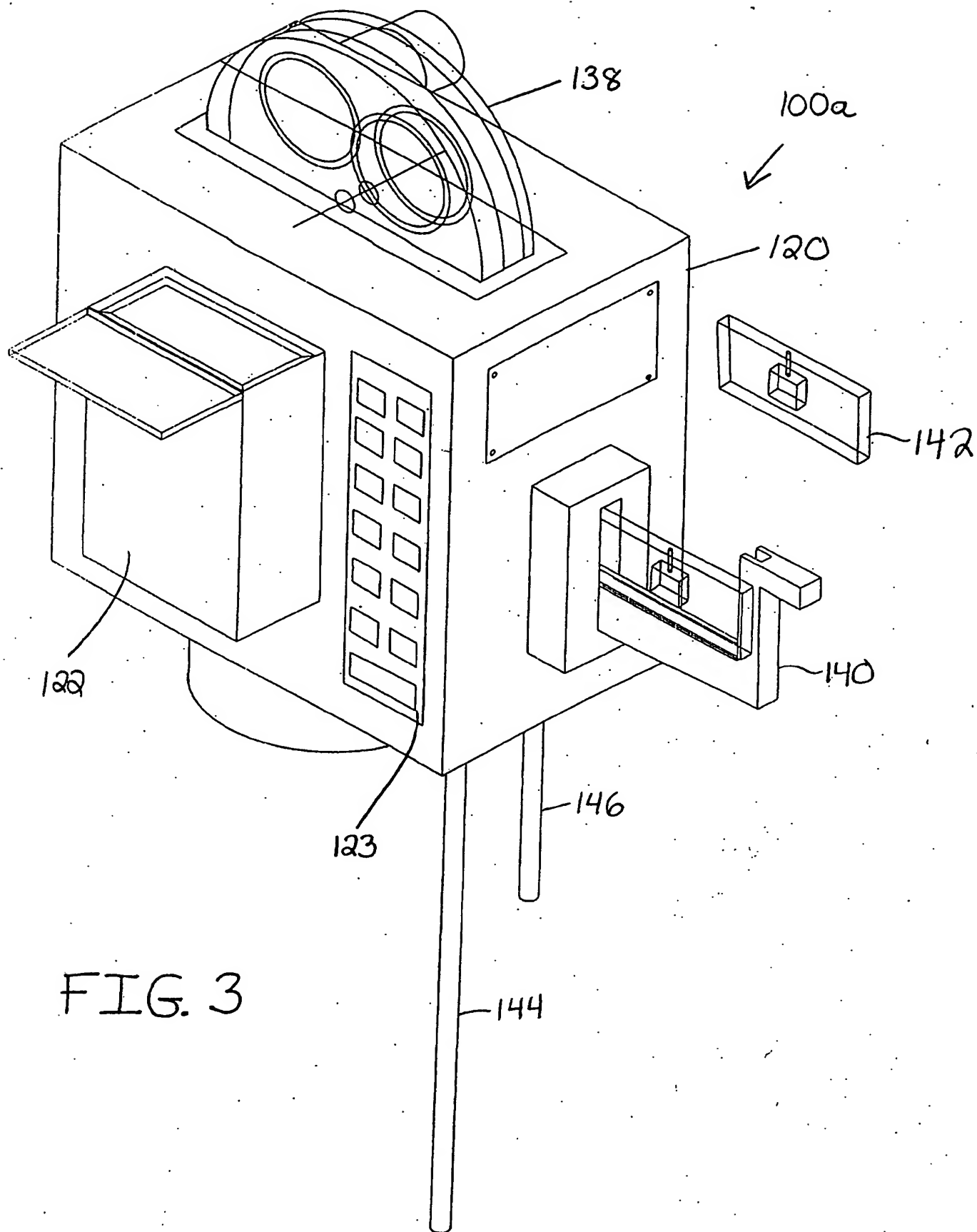


FIG. 3

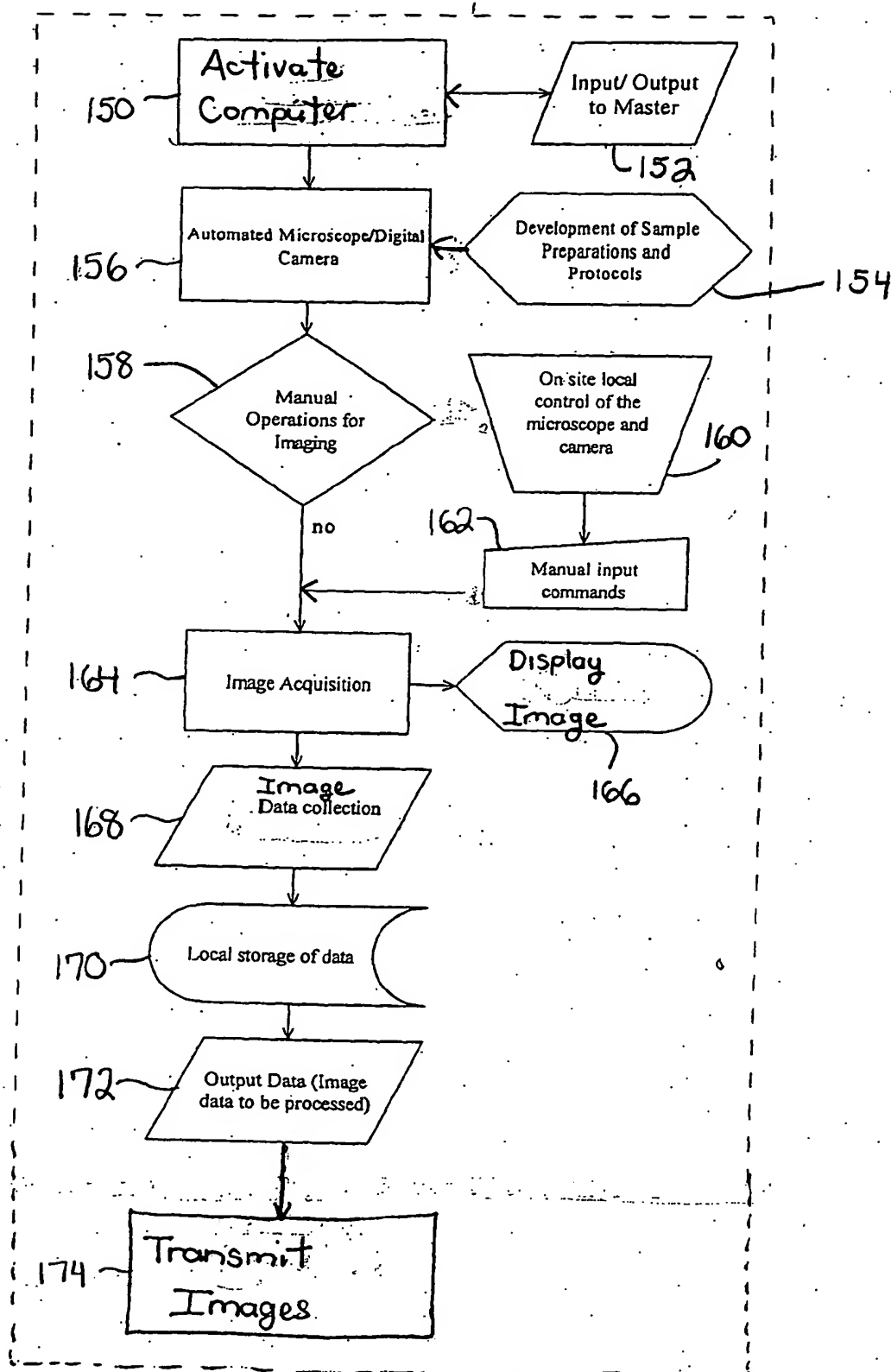
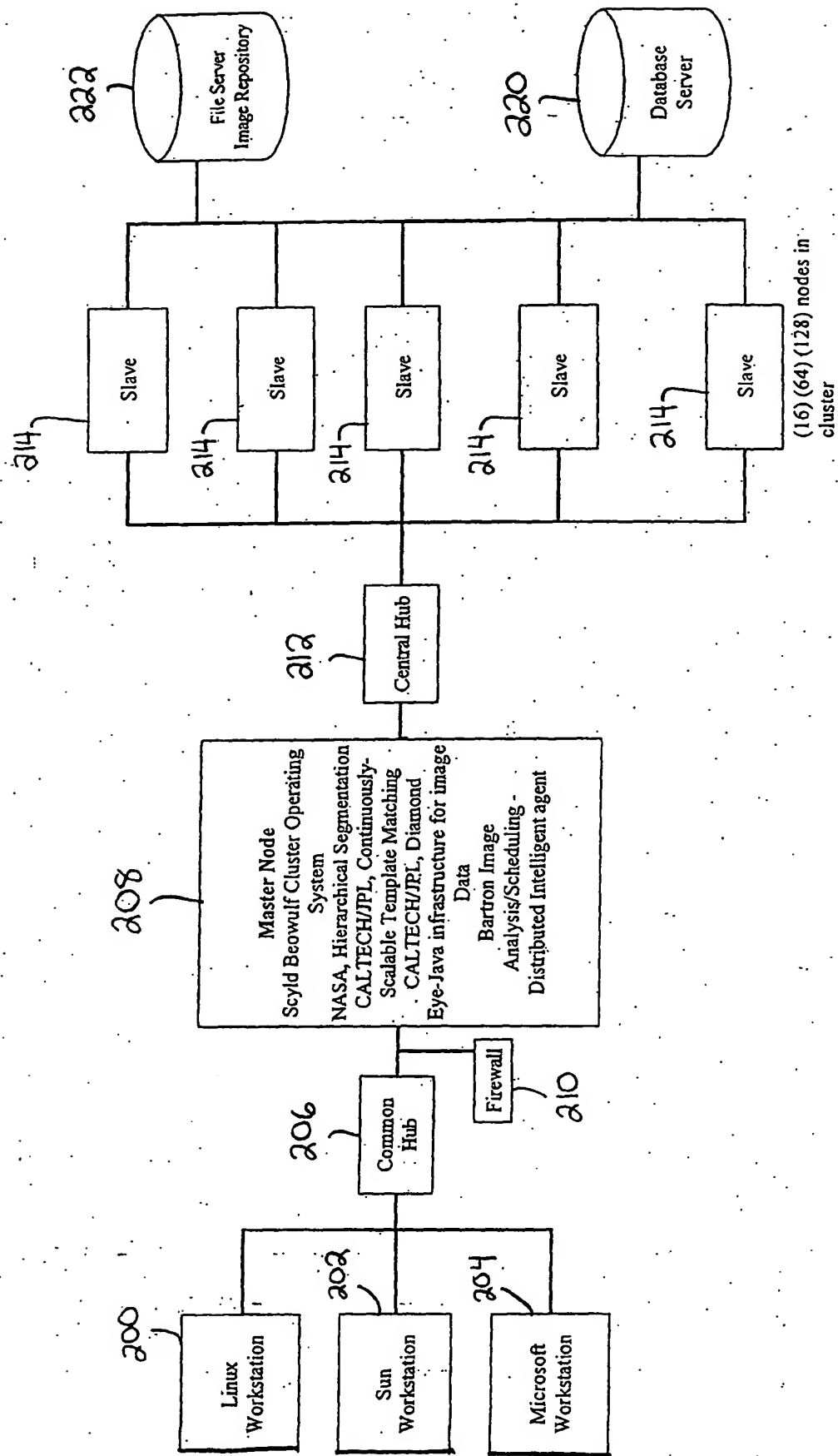


FIG. 4

1907



U  
T  
T  
U



FIG. 5A

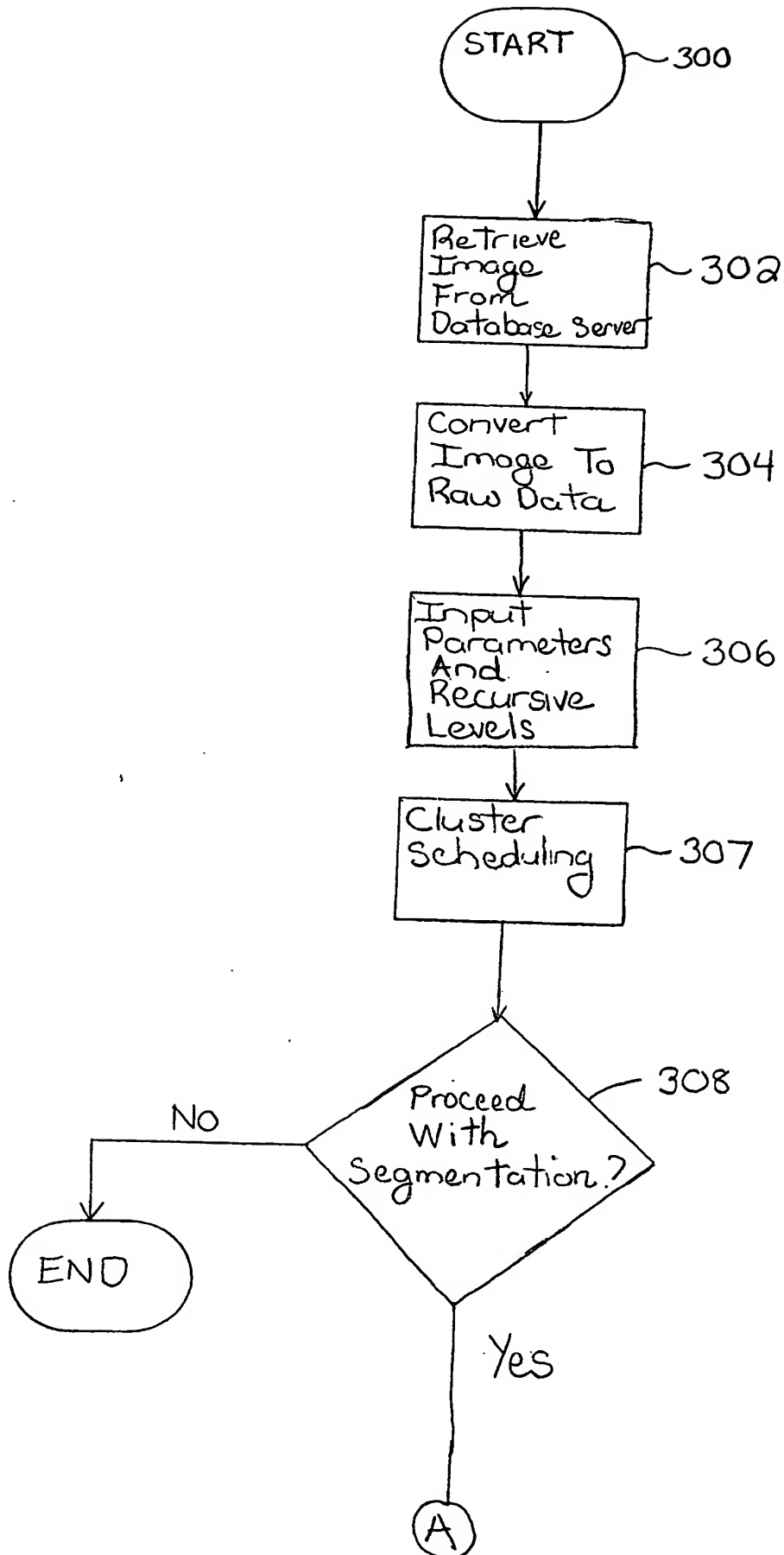


FIG. 5B

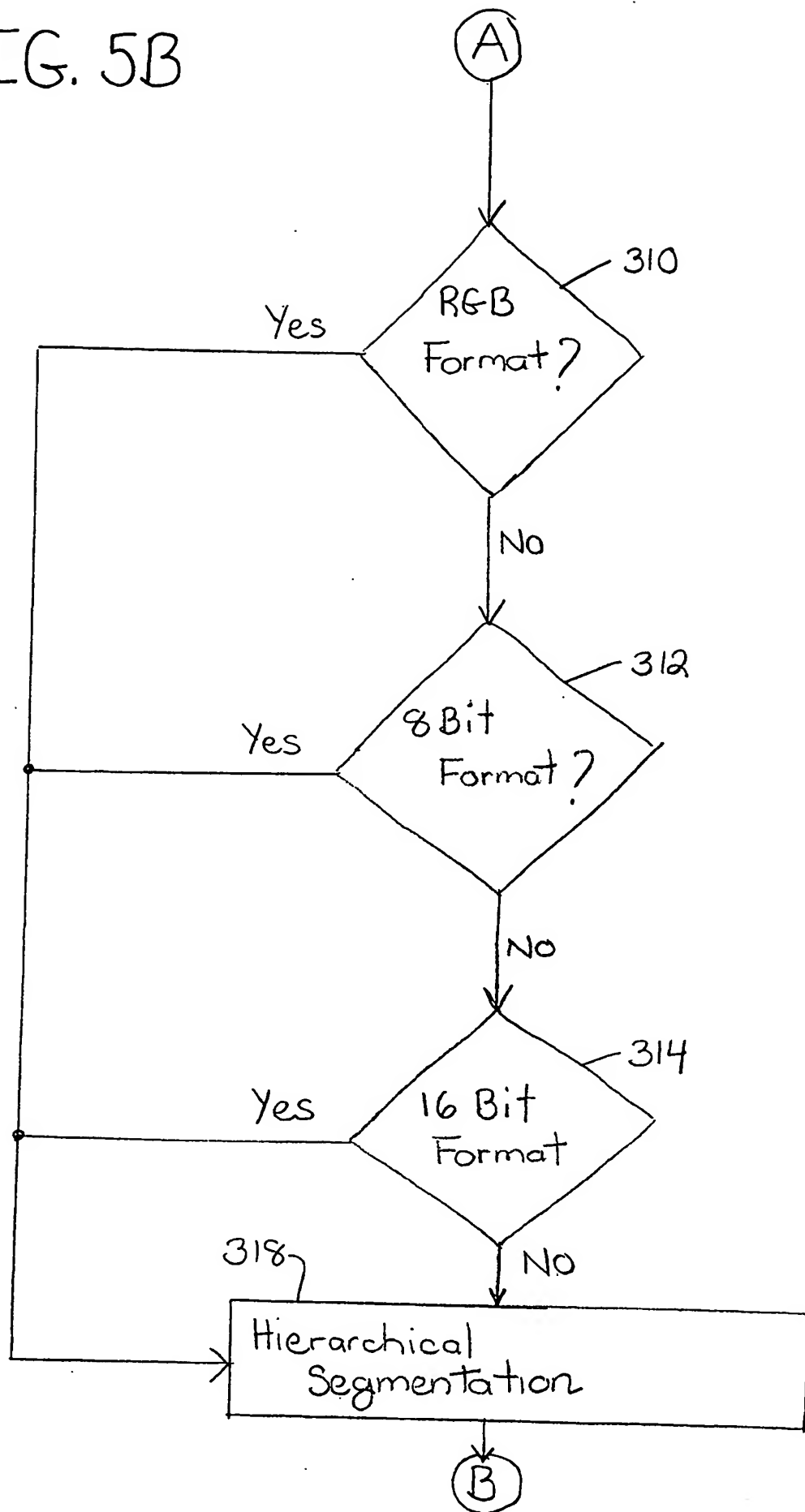


FIG. 5C

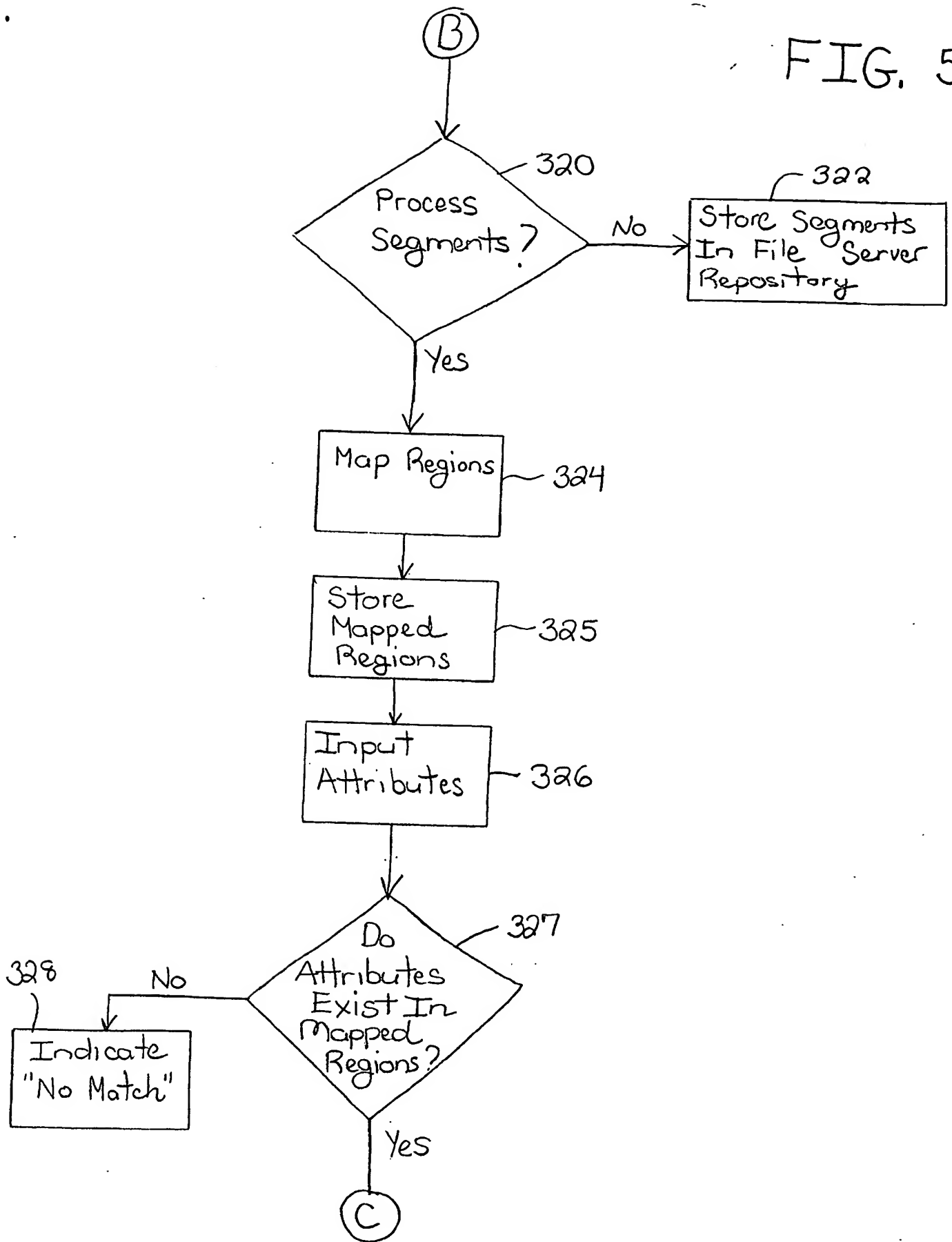


FIG. 5D

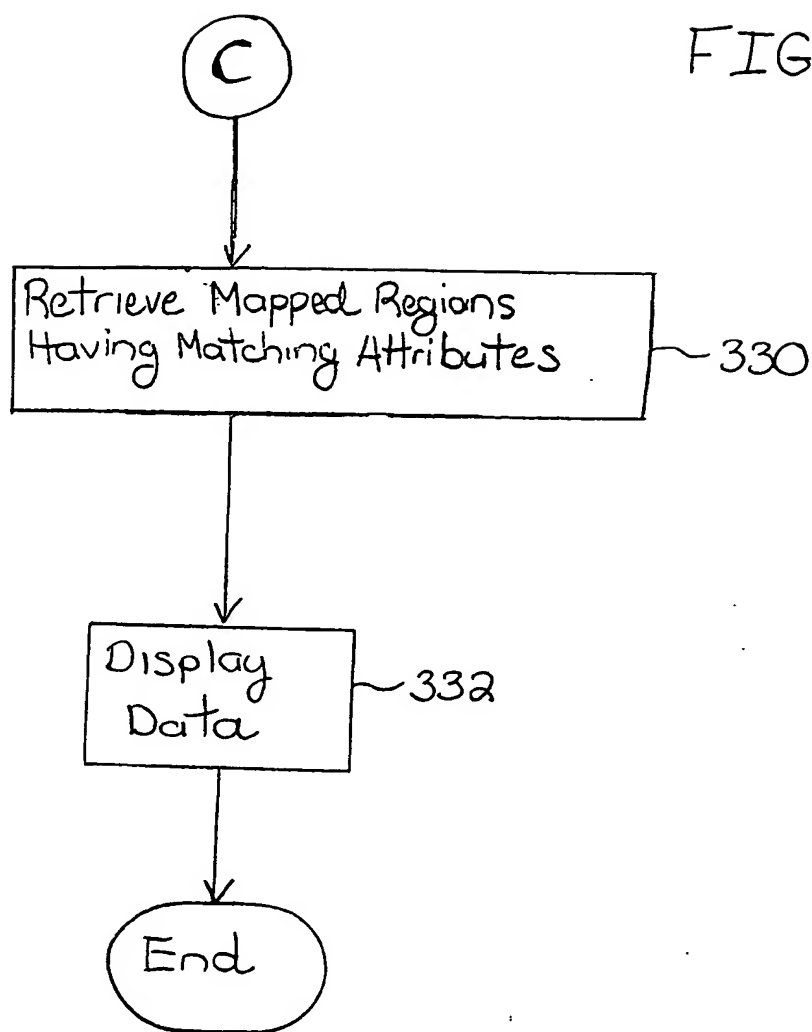
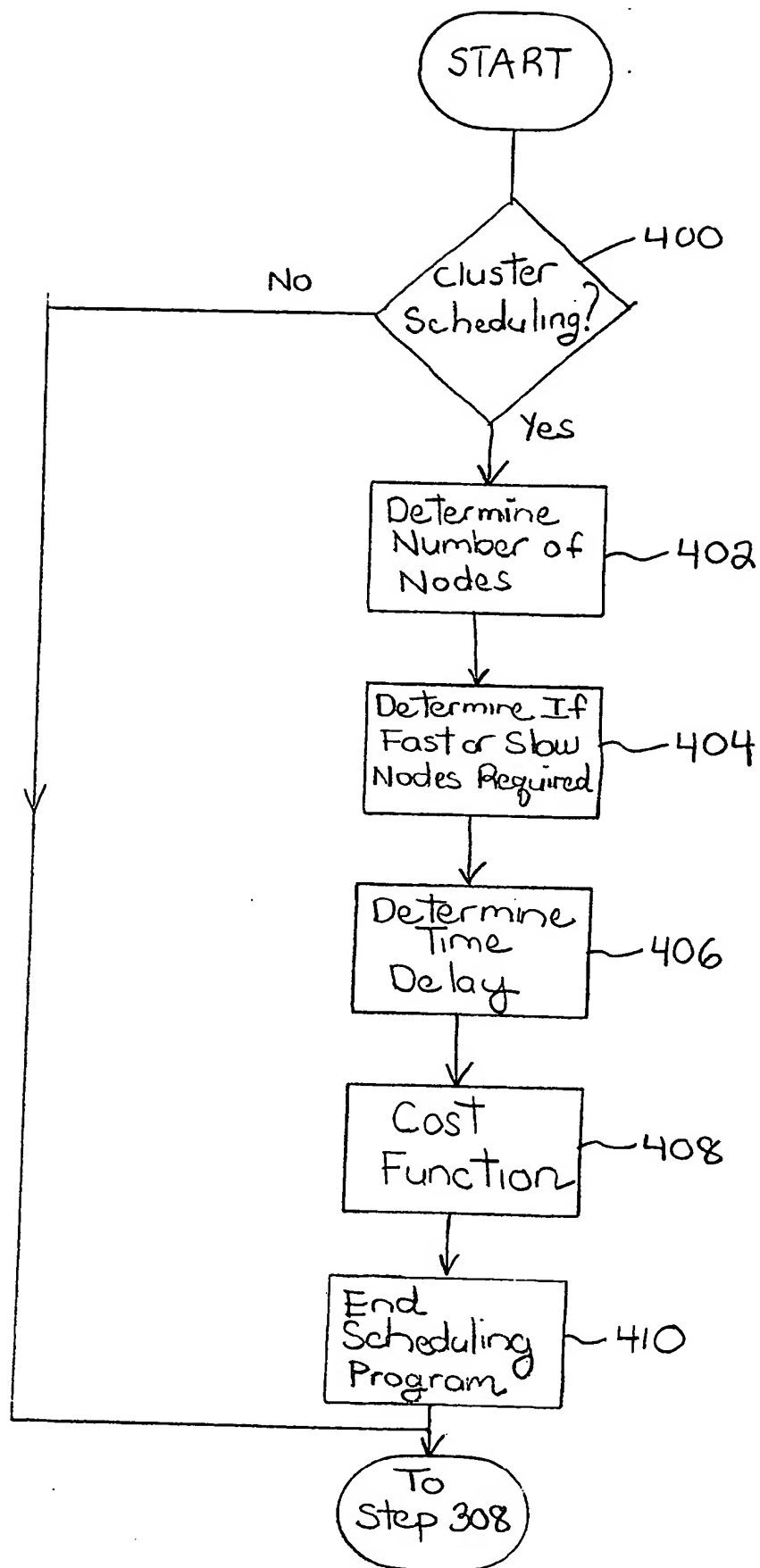


FIG. 6



# Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US04/009172

International filing date: 25 March 2004 (25.03.2004)

Document type: Certified copy of priority document

Document details: Country/Office: US  
Number: 60/505,944  
Filing date: 25 September 2003 (25.09.2003)

Date of receipt at the International Bureau: 02 February 2005 (02.02.2005)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b)



World Intellectual Property Organization (WIPO) - Geneva, Switzerland  
Organisation Mondiale de la Propriété Intellectuelle (OMPI) - Genève, Suisse

This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ BLACK BORDERS
- ☒ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images  
problems checked, please do not report the  
problems to the IFW Image Problem Mailbox**